



AMANAH SAHAM SARAWAK BERHAD

CORPORATE INVESTOR

✉ assar@assar.com.my

☎ 019-3461433

☎ 082-231433

☎ 082-232596



Amanah Saham Sarawak Berhad



@amanahsahamsarawakberhad

CHECKLIST – DOCUMENT REQUIRED

	No	Document
Corporate Account	1	Forms Required: • ASSAR Application Form • Investor Suitability Assessment Form
	2	Documents Required: • Cover letter if any, • Extract of minutes of the Board of Directors' meeting • List of Authorized Signatories • Identification Cards of Directors • Form 49 / Form 24

INVESTMENT PAYMENT POLICIES

- ✓ Cash payments for investment purposes are strictly prohibited.
- ✓ Cash deposits via CDM are not allowed.
- ✓ Third-party deposits into an investor's account are not accepted.
- ✓ All investments must be made via non-cash methods as below:
 - a) EFT/IBG,
 - b) RHB DuitNow QR,
 - c) SPAY Global,
 - d) Cheque/Bank Draft payable to:
“Amanah Saham Sarawak Trust Account” (RHB Bank Account No: 2-11212-0003137-9)

NOTES AND GUIDE

- All onboarding applications for corporate investor **must be conducted through face-to-face interaction.**
- All duly completed application forms for application of unit for existing corporate investor must be **delivered or mailed** to the following address:

Operations Department
 Amanah Saham Sarawak Berhad
 Lot 357, Section KTLD, Jalan Satok
 93400 Kuching, Sarawak

DISCLAIMER

Investors are strongly advised to read and fully understand the prospectus and product highlight sheet before making any investment decisions. Investors are advised to consider the fees and charges involved before investing and consult their licensed financial or other professional advisors if in doubt about any feature or nature of the fund. Please note that the price of units and any dividends payable may fluctuate. Additionally, the past performance of a fund is not indicative of future outcomes.

Definisi PEP

PEP adalah seorang individu yang mempunyai pengaruh politik atau telah diamanahkan dengan fungsi / jawatan awam yang penting dalam negara atau oleh negara luar termasuk :

- Ketua Kerajaan/Negeri
- Pegawai Tinggi Kehakiman, Tentera atau Polis
- Ahli Politik (majlis tertinggi)
- Pengurusan Kanan Syarikat Berkaitan Kerajaan
- Kerabat DiRaja

1) Adakah mana-mana Pemilik Benefisial syarikat/ organisasi merupakan seorang PEP? **ATAU**

☐ Ya ☐ Tidak

Adakah mana-mana Pemilik Benefisial syarikat/ organisasi mempunyai pertalian dengan mana-mana PEP?

☐ Ya ☐ Tidak

2) Jika Ya, sila pilih jenis PEP:-

☐ Ketua Kerajaan/Negeri ☐ Pegawai Tinggi Kehakiman/Tentera ☐ Ahli Politik/Majlis Tertinggi ☐ Kerabat DiRaja ☐ Pengurusan Kanan Syarikat Berkaitan Kerajaan

3) Jika anda **berkaitan** dengan PEP, sila nyatakan hubungan anda:-

☐ Suami/Isteri ☐ Anak ☐ Ibu/Bapa ☐ Adik-Beradik ☐ Ibu/Bapa (Mertua) ☐ Saudara

☐ Rakan Perniagaan ☐ Rakan-Rakan ☐ Penderma ☐ Ahli Lembaga Pengarah/AJK ☐ Penasihat ☐ Pemegang Saham

PENYATA PENDEDAHAN RISIKO-PELABURAN MELALUI PINJAMAN (jika berkenaan)

Nota : Bahagian ini hanya berkaitan untuk pembiayaan pelaburan melalui pinjaman sahaja.

Melabur dalam dana amanah saham dengan wang yang dipinjam lebih berisiko daripada melabur dengan wang simpanan sendiri.

Anda perlu menilai setakat mana pembiayaan pinjaman sesuai dengan matlamat dan keadaan kewangan anda serta perlu mengambil kira sikap/pendirian anda terhadap risiko. Anda hendaklah sedar akan risiko yang mungkin merangkumi perkara yang berikut:

1. Lebih tinggi margin kredit (iaitu, jumlah wang yang anda pinjam bagi setiap Ringgit wang anda yang dijadikan deposit cagaran), lebih besar potensi kerugian mahupun keuntungan.
2. Anda hendaklah menilai sama ada anda mempunyai keupayaan untuk membuat pembayaran balik pinjaman yang diminta. Sekiranya pinjaman adalah berdasarkan kadar boleh ubah ("variable rate loan"), bila mana kadar faedah naik, jumlah pembayaran semula akan turut naik.
3. Sekiranya harga unit saham amanah jatuh di bawah paras tertentu, anda mungkin diminta memberikan cagaran tambahan atau membayar jumlah tambahan sebagai tokokan ansuran biasa. Sekiranya anda gagal memenuhi syarat tersebut dalam waktu yang ditetapkan, pegangan unit saham amanah anda boleh dijual untuk melunaskan pinjaman anda.
4. Pulangan bagi amanah saham tidak dijamin dan tidak menentu/konsisten mahupun sama rata sepanjang tempoh. Anda berkemungkinan mendapat pulangan yang tinggi untuk beberapa tahun manakala di tahun-tahun lain terpaksa berdepan dengan kerugian. Sama ada anda memperoleh keuntungan atau kerugian adalah bergantung kepada masa penjualan saham amanah anda. Nilai saham amanah anda mungkin jatuh tatkala anda inginkan wang anda kembali walaupun pelaburan tersebut telah menunjukkan prestasi baik sebelum itu.

Penyata ringkas ini tidak boleh mendedahkan semua risiko dan aspek berkaitan dengan pinjaman pembiayaan. Oleh yang demikian, anda hendaklah mengkaji dengan sebaik-baiknya semua syarat dan terma yang dikenakan sebelum anda memutuskan membuat pinjaman. Sekiranya anda berasa ragu terhadap sebarang aspek Penyata Pendedahan Risiko ini, atau syarat-syarat pembiayaan pinjaman, anda hendaklah mendapat nasihat institusi yang menawarkan.

Saya/Kami mengesahkan telah menerima dan memahami isi kandungan Penyata Pendedahan Risiko bagi Pelaburan Melalui Pinjaman seperti yang dinyatakan di atas.

PENANDATANGAN PERTAMA

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Notis Privasi Data Peribadi

1. Notis Privasi Data Peribadi ini dirumus berdasarkan Akta Perlindungan Data Peribadi 2010 untuk Amanah Saham Sarawak Berhad ("ASSB").
2. Notis ini bertujuan memaklumkan bahawa data peribadi anda dan maklumat yang telah diberikan semasa memohon unit-unit bagi tabung unit amanah yang diuruskan oleh ASSB akan diproses, digunakan, dizahirkan, disimpan dan diselenggarakan hanya bagi tujuan menyediakan anda dengan produk dan perkhidmatan kami serta perkhidmatan berkaitan yang lain.
3. Sebagai pengguna data, ASSB akan mengambil langkah-langkah wajar bagi memastikan integriti data peribadi anda dilindungi dan akses kepadanya terpelihara.
4. Peruntukan data peribadi adalah wajib. Jika anda tidak membenarkan data peribadi anda digunakan bagi tujuan yang dinyatakan di sini, ia boleh menyebabkan anda dinafikan akses kepada produk dan perkhidmatan kami, dimana pihak kami tidak bertanggungjawab dan tidak boleh dipertanggungjawab sekiranya perkara tersebut berlaku.
5. Anda berhak untuk mengakses dan mengemaskini dan/atau membetulkan atau meniadakan pemprosesan atau menarik balik kebenaran untuk memproses data peribadi anda dengan menghantar notis secara bertulis kepada kami. Bagi tujuan tersebut, sila maklumkan kepada kami dengan memberikan nama, nombor Kad Pengenalan dan nombor akaun anda ke alamat berikut:

Amanah Saham Sarawak Berhad

Lot 357, Section 5, KTLD,

Jalan Satok,

93400, Kuching, Sarawak

Untuk perhatian : Ketua, Bahagian Operasi dan Pemasaran

Tel: 019-3461433/082-231433 Faks : 082-231461

emel : assar@assar.com.my

7. Kami percaya bahawa anda memahami dan bersetuju dengan terma-terma berkaitan dengan pemprosesan data peribadi anda. Dengan terus melanggan produk dan perkhidmatan kami, anda dianggap bersetuju dengan terma dan syarat yang dinyatakan di sini.
8. Sila ambil maklum bahawa **Polisi Privasi ASSB** mungkin disemak dan dikemaskini dari semasa ke semasa. Notis semakan/kemaskini tersebut akan dimaklumkan melalui saluran yang dianggap bersesuaian bagi ASSB.

Personal Data Privacy Notice

1. This Personal Data Privacy Notice was formulated in accordance with the provisions of the Personal Data Protection Act 2010 for Amanah Saham Sarawak Berhad ("ASSB").
2. This notice serves to inform your personal data and other information which you have provided in your application or request for unit(s) of the fund managed by ASSB shall be processed, used, disclosed, retained and maintained only for the purpose of providing you our product(s) and services and any other ancillary services.
3. As a data user, ASSB shall take all reasonable measures to ensure the integrity of your personal data is protected and access to it is safeguarded.
4. As a unit holder of the fund managed by ASSB, you undertake, acknowledge and agree that ASSB may collect, process, use, disclose and retain your personal data in accordance with **ASSB Privacy Policy available at ASSB office and a copy of which shall be made available to you upon request.**
5. Provision of your personal data is mandatory. If you do not provide your information to be used for the purpose as mentioned herein, it may result in you being denied access to our product(s) and services, which shall not render us responsible or liable for.
6. You have the right to access and update and/or correct or limit the processing or withdraw your consent for the processing of your personal data by sending your notice in writing to us. For that purposes, please notify us by providing your name, NRIC number and account number to the following address:

Amanah Saham Sarawak Berhad

Lot 357, Section 5, KTLD,

Jalan Satok,

93400, Kuching, Sarawak

Attention : Head, Operations and Marketing Department

Tel: 019-3461433/082-231433 Fax : 082-231461

email : assar@assar.com.my

7. We trust that you understand, consent and agree to the terms and conditions in respect of our processing of your personal data. By continuously subscribing to our product(s) and services, you shall be deemed to have agreed to the same.
8. Please be advised that ASSB may review and update **ASSB Privacy Policy** from time to time. Notice of such revision(s) shall be communicated via means deem suitable by ASSB.

In the event of any inconsistency between the English version and the Bahasa Malaysia version of this notice, the English version shall prevail.

Saya/Kami mengesahkan telah menerima, membaca dan memahami Notis Privasi Data Peribadi dan membenarkan ASSB untuk memproses dan merujuk maklumat yang dizahirkan didalam permohonan saya/kami ini kepada pihak-pihak berkaitan berdasarkan Polisi Privasi ASSB dan/atau atas sebab-sebab yang dianggap bersesuaian oleh pihak ASSB dan/atau berdasarkan keperluan undang-undang.

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7 DEKLARASI UMUM DAN AKUAN PENGESAHAN

1. **SURATIKATAN DAN PROSPEKTUS.** Saya/Kami mengesahkan telah membaca dan memahami isi kandungan Surat Ikatan dan Surat Ikatan Tambahan (jika ada), Prospektus ASSAR dan Prospektus Tambahan ASSAR (jika ada) serta Lembaran Penerangan Produk dan bersetuju mematuhi dan terikat dengan syarat-syarat dan peraturan-peraturan dan sebarang tambahan dan perubahan kepadanya yang berkaitan dengan permohonan unit-unit yang didaftarkan atau sesudah itu.
2. **CAJ DAN FI.** Saya/Kami sedar dan memahami bahawa terdapat caj dan fi yang dikenakan secara langsung atau tidak langsung apabila saya/kami membuat pelaburan didalam ASSAR.
3. **KELAYAKAN.** Saya/Kami adalah warganegara Malaysia Bumiputera Sarawak/Bumiputera lain yang telah berkhidmat di Sarawak melebihi satu tahun pada tarikh permohonan.
4. **KEBANKRAPAN.** Saya/Kami mengesahkan bahawa saya/kami bukan seorang/kami yang bankrap dan tidak ada kes litigasi atau prosiding kebangkrutan yang telah dan/atau sedang diambil terhadap saya/kami dan akan memaklumkan kepada pihak ASSB dalam tempoh 7 hari sekiranya saya berdepan dengan proses kebangkrutan.
5. **AMLA 2001.** Saya/Kami mengesahkan bahawa semua maklumat didalam permohonan ini adalah benar dan tepat serta pelaburan saya/kami tidak bercanggah dengan mana-mana undang-undang Malaysia termasuk AMLA 2001. Pihak pengurusan berhak menamatkan semua hubungan dengan pihak saya/kami sekiranya saya/kami didapati melanggar undang-undang, peraturan-peraturan dan kaedah-kaedah AMLA 2001 dan polisi "Know Your Customer".
6. **CEK PEMBAYARAN JUALAN BALIK UNIT ASSAR TIDAK DITUNAI.** Saya/Kami mengambil maklum pembayaran pembelian balik unit ASSAR akan dikreditkan semula ke akaun saya/kami sekiranya cek pembayaran tidak ditunaikan dalam tempoh sah laku iaitu enam (6) bulan dari tarikh cek dikeluarkan jika Harga Jualan seunit ASSAR **adalah RM1.00** . Dan jika Harga Jualan seunit ASSAR **melebihi RM1.00**, jumlah pembayaran tersebut akan diuruskan mengikut peruntukan Akta Wang Tak Dituntut 1965.
7. **NOTIS ANTI-RASUAH DAN KORUPSI KUMPULAN SYARIKAT ASSAR.** Saya/kami mengesahkan telah menerima salinan Notis Anti-Rasuah dan Anti-Korupsi Kumpulan Syarikat ASSAR dan memahami tatacara/saluran pelaporan sulit sekiranya berlaku sebarang ketidakpatuhan.
8. Segala keterangan/maklumat yang diberikan adalah sah dan benar. Sekiranya terdapat keterangan/maklumat yang tidak benar atau palsu, maka permohonan ini dengan sendirinya terbatal.

PENANDATANGAN PERTAMA

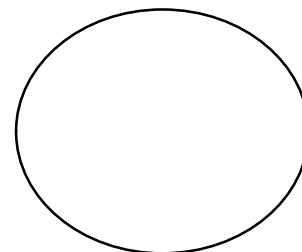
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COP SYARIKAT

8 DEKLARASI AMLA (Akta Pencegahan Pengubahan Wang Haram Pencegahan Pembiayaan Keganasan 2001)

Nota: Bahagian ini hanya perlu diisi untuk pelaburan RM1,000,000.00 dan ke atas.

Saya/Kami mengesahkan bahawa punca dana untuk tujuan permohonan pelaburan/pembelian unit-unit ASSAR bukan hasil daripada aktiviti haram, tidak diperolehi dari mana-mana sumber yang menyalahi undang-undang atau berkaitan dengan mana-mana aktiviti yang menyalahi undang-undang seperti yang ditakrifkan di bawah AMLA 2001.

Sumber dana pelaburan ini adalah daripada:

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UNTUK KEGUNAAN ASSB SAHAJATARIKH
PENDAFTARAN

Hari Bulan Tahun
[] [] - [] [] - [] [] []

DISEDIAKAN OLEH :

(NAMA :

DISAHKAN OLEH :

(NAMA :

SENARAI SEMAKAN☐ Borang ASSB/OP/PK01 telah lengkap diisi☐ Senarai Penandatanganan Yang Dibenarkan☐ Salinan Borang 24 dan Borang 49☐ Salinan Ekstrak M & A /
Konstitusi Persatuan☐ Salinan Kad Pengenalan
Penandatanganan Yang Dibenarkan☐ Salinan Ekstrak Minit Mesyuarat Lembaga
Pengarah / Resolusi Lembaga Pengarah☐ Salinan Sijil Pendaftaran☐ Customer Risk Rating
Assesment-Corporate



AMANAH SAHAM SARAWAK ("ASSAR")

Pelaburan Utama Demi Kemakmuran

INVESTOR SUITABILITY ASSESSMENT FORM

This Investor Suitability Assessment Form ("ISAF") will guide you in choosing the unlisted capital market products that suit your investment objectives, risk tolerance, financial profile and investment experience. The information you provide will form the basis of our recommendation. It is important to provide accurate and complete information to ensure that suitable unlisted capital market products are recommended according to your investment needs and objectives.

SECTION 1: KNOW-YOUR-INVESTOR PROCESS

Please fill in your answer in the box provided. All information will be treated with strictest confidence.

* to delete whichever is inapplicable

Section 1.1 - Investor's Details:

☐

Single

☐

Joint Holder

☐

Corporate

Details	Single / Principal Applicant	Joint Applicant	Corporate
Name (as per NRIC) / Corporate (as per Certificate of Incorporation)			
NRIC / Reg. No.			
Mailing Address			
Contact No.			
Email Address			
Highest Education/Professional Qualification	Please specify:	Please specify:	
Employment	☐ Government ☐ Student ☐ Private Sector ☐ Housewife ☐ Self Employed ☐ Others,	☐ Government ☐ Student ☐ Private Sector ☐ Housewife ☐ Self Employed ☐ Others,	
Name Of Authorised Signatory			

Section 1.2 – Category of Investor

Type of Investors	Please tick (✓)	Qualifying Criteria (for detailed criteria, kindly refer to Securities Commission's Guidelines on Categories of Sophisticated Investors.
Accredited Investor (An Accredited Investor is not required to conduct suitability assessment)	☐	(i) A unit trust scheme, private retirement scheme or prescribed investment scheme. (ii) Bank Negara (iii) A licensed person or a registered person. (iv) An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator. (v) A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia. (vi) A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704]. (vii) An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705]. (viii) A chief executive officer or a director of any person referred to in subparagraphs (iii), (iv), (v), (vi) and (vii) above. (ix) A closed-end fund approved by the SC.

Type of Investors	Please tick (✓)	Qualifying Criteria (for detailed criteria, kindly refer to <i>Securites Commission's Guidelines on Categories of Sophisticated Investors</i> .)
High Net-Worth Entity	☐	(i) A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies. (ii) A corporation that— (A) is a public company under the Companies Act 2016 which is approved by the SC to be a trustee under the CMSA and has assets under its management, exceeding ten million ringgit or its equivalent in foreign currencies; or (B) is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies (iii) A corporation with total net assets exceeding ten million ringgit or its equivalent in foreign currencies based on the last audited accounts. (iv) A partnership with total net assets exceeding ten million ringgit or its equivalent in foreign currencies. (v) A statutory body established under any law whose function or mandate is investment in capital market products. (vi) A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.
High Net-Worth Individual	☐	An individual: (i) whose total net personal assets exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than one million ringgits of the total net assets. (ii) whose total net joint assets with— (a) his or her spouse; or (b) his or her child, exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than one million ringgits of the total net assets. (iii) who has a gross annual income exceeding three hundred thousand ringgit or its equivalent in foreign currencies in the preceding 12 months. (iv) who jointly with his or her spouse or child, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies in the preceding 12 months. (v) whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse or child, in any capital market products exceeding one million ringgit or its equivalent in foreign currencies. (vi) who has 5 consecutive years of relevant working experience in finance, economic, actuarial science or accounting and holds any of the qualifications (Bachelor's or Master's Degree related to Finance, Economics, Actual Science, Accounting, Master of Business Administration). (vii) Who holds membership in the associations (Active Member of Chartered Financial Analyst Institute, Chartered Banker of Asian Institute of Chartered Bankers, Ordinary Member of Financial Markets Association Malaysia, Chartered Accountant of Malaysia Institute of Accountants, Ordinary Member of Malaysia Association of Tax Accountants, Accredited Angel Investor of Malaysian Business Angel Network, Certified Member of Financial Planning Association of Malaysia and Ordinary Member of Malaysian Financial Planning Council. (viii) who has 5 consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.
A person who acquires the unlisted capital market product where the consideration is not less than two hundred and fifty thousand ringgit or its equivalent in foreign currencies for each transaction whether such amount is paid for in cash or otherwise	☐	
Retail Investor	☐	(i) You are categorised as Retail Investor if you are NOT an Accredited Investor or a High Net-Worth Individual/Entity as defined above
Vulnerable Investor	☐	(i) A natural person or representative(s) of an entity who may be at higher risk of suffering harm or exploitation due to factors that impede their ability to make well informed and independent financial decisions, may face challenges in accessing financial services or may require assistance to engage in financial services, for example, a person with disabilities or a senior citizen. (ii) This shall be limited to the following categories of people : ▪ A person with physical disabilities (limitation on a person's physical functioning, mobility, dexterity or stamina); ▪ A person who has an inadequate level of financial literacy (i.e. unable to comprehend basic financial terms) ▪ A person with language & communication barriers ▪ Senior citizen (60 years and above) who are illiterate, or have low level of education. Note: ASSB shall not engage with the following categories of investor: • Cognitive disabilities (i.e. mental impairment or illnesses) • People with disabilities (i.e. visual impairment)

SECTION 2: SUITABILITY ASSESSMENT

Section 2.1 – Investor's Needs Analysis

		Single/Principal Applicant	Corporate
A	Marital Status	☐ Single ☐ Married	
B	How many school going children do you have?	☐ None ☐ 1-2 ☐ 3-5 ☐ >5	
C	How many dependents do you have?	☐ None ☐ 1-2 ☐ 3-5 ☐ >5	
D	How many months of expenses can your emergency funds cover?	☐ No emergency funds ☐ < 3 months ☐ 3 to 6 months ☐ > 7 months	
E	Please choose type(s) of investment you currently hold.	☐ None ☐ Savings accounts/ FD ☐ Unit Trust Fund ☐ Equities / Futures	☐ Fixed Deposits ☐ Money Market ☐ Unit Trust Fund ☐ Equities / Bonds
F	What range best describes your personal annual income (RM), including joint holder (if any) / Corporation's latest audited shareholders fund.	☐ Below 50,000 ☐ 50,001-150,000 ☐ 150,001 – 300,000 ☐ 300,001- 400,000 ☐ More than 400,001	☐ <RM1 million ☐ RM1 – RM10 million ☐ >RM10 million
G	Age range.	☐ <40 ☐ 40-50 ☐ >50	

Section 2.2 – Investor's Investment Objectives

		Single/Principal Applicant	Corporate
A	Is capital / principal protection important to you?	☐ Yes ☐ No	☐ Yes ☐ No
B	Investments generally take time to grow. How long do you wish to invest your money for?	☐ Short-term (< 3 Years) ☐ Medium-term (3-5 Years) ☐ Long-term (> 5 Years)	☐ Short-term (< 3 Years) ☐ Medium-term (3-5 Years) ☐ Long-term (> 5 Years)
C	Purpose of Investment	☐ Capital Preservation ☐ Moderate Income and/or Capital Growth ☐ Children Education ☐ Retirement Planning ☐ Others, please specify:	☐ Capital Preservation ☐ Moderate Income and/or Capital Growth ☐ Others, please specify :

Section 2.3 – Investor's Risk Tolerance/ Understanding of the product offered

		Single/Principal Applicant	Corporate
A	Are you aware of the RM1.00 minimum price guarantee feature of ASSAR?	☐ Yes ☐ No	☐ Yes ☐ No
B	Are you aware that there are risks associated with investing in "ASSAR"?	☐ Yes ☐ No	☐ Yes ☐ No
C	Are you aware that the income distribution of the fund may rise or fall and is not guaranteed and that past performance is not an indication of future performance?	☐ Yes ☐ No	☐ Yes ☐ No
D	Are you aware that the minimum price guarantee does not, however, preclude investors from incurring capital loss in the event their entry price (i.e. Selling Price) is more than RM1.00?	☐ Yes ☐ No	☐ Yes ☐ No
E	Are you aware that the value of a Unit Holder's investment may rise or fall depending on the market factors that the underlying funds invest in?	☐ Yes ☐ No	☐ Yes ☐ No
F	Are you aware there are fees and charges involved in the buying and selling of "ASSAR" units?	☐ Yes ☐ No	☐ Yes ☐ No

Note: If you have answered "No" in any of the questions in Section 2.3 (A-F), you are NOT suitable to invest in ASSAR. Kindly approach our product distributor representative to find out more.

SECTION 3: RECOMMENDATION SECTION

Section 3.1 – Recommendations Section (Note: This section to be completed by product distributor)

Please tick (✓) where appropriate :

		Yes	No
A	Whether the product is recommended by the product distributor		
B	Basis of recommendation: - The product recommended fits the clients risk appetite and investment objectives. - Others, please specify:		
C	Basis of non –recommendation: - The product recommended does not fit the clients risk appetite and investment objectives. - Others, please specify:		

Disclaimer: Any financial analysis or opinion furnished by us will be based on information provided by you and will be used by us as a guide in deciding how best to attain your investment goals. We do not guarantee any returns as projected in any financial analysis. If you make any investments decision based on any financial analysis or opinion, you accept all risks or losses arising there from and will not hold Amanah Saham Sarawak Berhad (260166-D), its officers, employees or representatives in any way liable. If in doubt, please consult an independent professional adviser.

SECTION 4: *ACKNOWLEDGEMENT*

Section 4.1 – Investors Declarations

AS PART OF THE ON-GOING COMPLIANCE TO SECURITIES COMMISSION MALAYSIA'S GUIDELINES ON CONDUCT FOR CAPITAL MARKET INTERMEDIARIES, YOU ARE REQUIRED TO COMPLETE A NEW ISAF TO SUPERSEDE THIS SIGNED VERSION IF YOU HAVE ANY MATERIAL CHANGES TO YOUR PARTICULARS IN THE FUTURE.

I/We* declare that:

1. All information disclosed is true, complete and accurate and I hereby consented to the disclosure of personal data and information as set out in this form to be strictly used **ONLY** for the product suitability assessment.
2. The questions and answers in the suitability assessment are meant to be assessed in entirety in order to assess my risk tolerance and each individual answer cannot be taken on its own.
3. The product distributor has explained and I/we* have understood the features, risks, fees and charges of the product and shall bear full responsibility for my /our* investment decision.
4. I/We acknowledge receipt of a copy of Product Highlights Sheet, Prospectus and other relevant disclosure document which have been given to me/us*.

Please tick (✓) where appropriate :

- ☐ I/We* agree with the result of this suitability assessment and would like to accept any investment suggestions.
- ☐ I/We* do not agree with the outcome of this suitability assessment and would like to invest in the funds of my own choice.
- ☐ **(If applicable)** I/We* decline to provide certain information required for this suitability assessment and this may adversely affect my/our* suitability assessment.

Single/Principal* Applicant Signature	Joint Applicant Signature	Corporate Authorized Signatory	Company Stamp
Name: Date:	Name: Date:	Name: Date:	

Section 4.2 – Product Distributor Representative

I declare that:

1. The information provided to me in this ISAF is strictly confidential and is used for the purpose of fact finding and to facilitate the advisory process. The information contained herein will be treated in accordance with the current and/or relevant laws and legislations.
2. I have already disclosed and explained all the required information in relation with the services rendered to investor and have attached all relevant disclosure documents.
3. I am a trained/qualified financial advisor and licensed Federation of Investment Manager Malaysia (FiMM) unit trust consultant to assist investor in investments products and services.

Signature	
Name	
FiMM No.	
Date (dd/mm/yyyy)	

WARNING

THE RECOMMENDATION IS MADE BASED ON INFORMATION OBTAINED FROM THE SUITABILITY ASSESSMENT. INVESTORS ARE ADVISED TO EXERCISE JUDGEMENT IN MAKING AN INFORMED DECISION IN RELATION TO THE UNLISTED CAPITAL MARKET PRODUCT.